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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 9 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:Date: September 24, 2007Name: James L. Katz (Reg. No. 42,711)Signature: BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **BRADY, ET AL.**Appln. No.: **10/726,851**Filed: **December 2, 2003**For: **NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES**Examiner: **LALITA M. HAMILTON**Art Unit: **3691**Conf. No. **5641**Attorney Docket No: **4672/399-369**Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Attached is/are:

☒ Transmittal (in duplicate); and Preliminary Amendment.

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☒ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	30	Minus	26	4	x \$25=			x \$50=	200.00
Indep.	5	Minus	4	1	x 100=			x \$200=	200.00
First Presentation of Multiple/Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$400.00

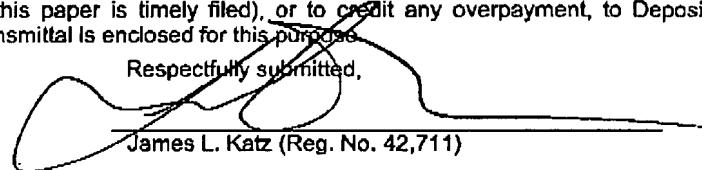
Fee payment:

- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$400.00. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

September 24, 2007

Date


James L. Katz (Reg. No. 42,711)BRINKS
HOFER

BRINKS HOFER GILSON & LIONE

NRC Tower - Suite 3600 455 N. Dearborn Plaza Drive, Chicago, IL 60611-5500

SEP 24 2007

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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Total					\$			Total	
								\$400.00	

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Respectfully submitted,

September 24, 2007

Date

James L. Katz (Reg. No. 42,711)

BRINKS
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NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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SEP 24 2007

Our Case No. 4672/369

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No. 10/726,851

Filing Date: December 2, 2003

For NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

)
)
) Examiner: HAMILTON, LALITA M

)
) Group Art Unit No.: 3691
)
)
)

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the subject application, please amend the above-identified application as follows: